NON-QUALIFIED TRANSFER OF ASSETS FORM

Please complete this form only if you are transferring assets directly to a new or existing non-qualified account with the Pinnacle Sherman Multi-Strategy Core Fund (the "Fund"). Please complete a separate form for each account you wish to transfer. Transfers may take 3 to 5 weeks to complete after your paperwork is received in good order.

For Additional Copies or Assistance

If you need additional copies of this form, or would like assistance completing it, please call **(888) 985-9830** or go to **www.pinnacletacticalfunds.com**.

Instructions

1. If you are establishing a new account, please contact **(888) 985-9830** or go to **www.pinnacletacticalfunds.com** about additional information that must be submitted with this Form.

2. Mail this Transfer Form to:
   Pinnacle Sherman Multi-Strategy Core Fund
   c/o Gemini Fund Services, LLC
   P.O. Box 541150
   Omaha, NE 68154

   Overnight Delivery:
   Pinnacle Sherman Multi-Strategy Core Fund
   c/o Gemini Fund Services, LLC
   17605 Wright Street, Suite 2
   Omaha, NE 68130

3. Retain a copy for your records.

Anti-Money Laundering

To help the government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account.

What this means for you: When you open an account, we will ask for your name, address, date of birth, social security number/ Tax ID number and other information that will allow us to identify you. We may also ask to see other identifying documents. Until you provide the information or documents we need, we may not be able to open an account or effect any additional transactions for you.

When opening an account for a foreign business, enterprise or a non-U.S. person that does not have an identification number, we require alternative government-issued documentation certifying the existence of the person, business or enterprise.

For questions about these policies, or for additional copies of the Pinnacle Sherman Multi-Strategy Core Fund Privacy Policy Statement, please contact the Fund at **(888) 985-9830** or contact the Pinnacle Sherman Multi-Strategy Core Fund at PO Box 541150, Omaha, NE 68154.

1. ACCOUNT REGISTRATION

Please provide your primary legal address, in addition to any mailing address (if different).

Owner's Name (First, Middle, Last) ______________________

Social Security Number ______________________

Street Address ______________________

Date of Birth ______________________

City, State, Zip ______________________

Daytime Telephone ______________________

Email Address ______________________

Evening Telephone ______________________

☐ This is a new account. I have completed and enclosed an Application with this transfer form.

☐ This is an existing account. Please apply transfer proceeds to my account number: ______________________________

2. INFORMATION ABOUT YOUR EXISTING ACCOUNT

Name of Firm Currently Holding Your Account ______________________

Account Name ______________________

Street Address ______________________

Account Number ______________________

City, State, Zip ______________________

Firm Telephone Number ______________________

Please attach a copy of the most recent statement for this account.

3. TRANSFER INFORMATION

Please transfer assets from the above account to Pinnacle Sherman Multi-Strategy Core Fund. Transfers should be according to the following instructions:

This transfer is a: (check one)

☐ Complete Transfer. Please liquidate all assets in my account.

☐ Partial Transfer. Liquidate $ ________from my account.

☐ Transfer in kind:
Please transfer ___________shares of _______________
(Fund Name)

The type of account I am transferring from is a: (check one)

☐ Individual
☐ Joint Tenant
☐ Transfer on Death
☐ Trust
☐ Other

The type of account I am transferring to is a: (check one)

☐ Individual
☐ Joint Tenant
☐ Transfer on Death
☐ Trust
☐ Other

4. CERTIFICATIONS AND SIGNATURES

I hereby authorize this liquidation and/or transfer in kind from my current financial institution to the account designated on this form. By signing below, I certify the information set forth herein is accurate and I have received and read a prospectus for the funds in which I am making my investment. To the extent that I have requested a redemption of mutual fund shares in connection with my transfer, I understand that such shares will be redeemed at the net asset value next determined after my transfer request is reviewed and determined to be in good order by the delivering firm. If Fund shares are being purchased on behalf of an Investment Company (as that term is defined under the Investment Company Act of 1940, as amended ("the 1940 Act"), including investment companies that are not required to register under the 1940 Act pursuant to section 3(c)(1) or 3(c)(7) exemptions), I hereby certify that said Investment Company will limit its ownership to 3% or less of the Fund's outstanding shares. **The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.**

Medallion Signature Guarantee* (if required):
Some Firms require a Medallion Signature Guarantee to transfer assets. Please check with your current firm to see if they require a Medallion Signature Guarantee. Failure to obtain a required signature guarantee may result in a delay in the transfer of assets.

______________________________ ______________________________
Account Owner's Signature Date

______________________________ ______________________________
Joint Owner's Signature (if applicable) Date

*A Medallion Signature Guarantee can be obtained from a bank, broker-dealer, a credit union, a national securities exchange, savings association or other financial intermediaries that are members of an Approved Medallion Guarantee Program. A signature by a Notary Public is not acceptable as a signature guarantee.

5. TRANSFER INSTRUCTIONS

Make check payable to:

Pinnacle Sherman Multi-Strategy Core Fund

FBO: ______________________________

Account Number: ______________________________

Mail this Transfer Form to:

Pinnacle Sherman Multi-Strategy Core Fund
c/o Gemini Fund Services, LLC
P.O. Box 541150
Omaha, NE 68154
Or
Via Overnight Delivery
17605 Wright Street, Suite 2
Omaha, NE 68130

Internet
www.pinnacletacticalfunds.com

Rev. February 2014

PRIVACY NOTICE

FACTS	**WHAT DOES NORTHERN LIGHTS FUND TRUST III DO WITH YOUR PERSONAL INFORMATION?**

Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?	The types of personal information we collect and share depend on the product or service you have with us. This information can include: ▪ Social Security number ▪ Assets ▪ Retirement Assets ▪ Transaction History ▪ Checking Account Information ▪ Purchase History ▪ Account Balances ▪ Account Transactions ▪ Wire Transfer Instructions When you are *no longer* our customer, we continue to share your information as described in this notice.

How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Northern Lights Fund Trust III chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Northern Lights Fund Trust III share?	Can you limit this sharing?
For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes – to offer our products and services to you	No	We don't share
For joint marketing with other financial companies	No	We don't share
For our affiliates' everyday business purposes – information about your transactions and experiences	No	We don't share
For our affiliates' everyday business purposes – information about your creditworthiness	No	We don't share
For nonaffiliates to market to you	No	We don't share

Questions?	Call **(402) 493-4603**

Who we are	
Who is providing this notice?	Northern Lights Fund Trust III
What we do	
How does Northern Lights Fund Trust III protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. Our service providers are held accountable for adhering to strict policies and procedures to prevent any misuse of your nonpublic personal information.
How does Northern Lights Fund Trust III collect my personal information?	We collect your personal information, for example, when you ▪ Open an account ▪ Provide account information ▪ Give us your contact information ▪ Make deposits or withdrawals from your account ▪ Make a wire transfer ▪ Tell us where to send the money ▪ Tells us who receives the money ▪ Show your government-issued ID ▪ Show your driver's license We also collect your personal information from other companies.
Why can't I limit all sharing?	Federal law gives you the right to limit only ▪ Sharing for affiliates' everyday business purposes – information about your creditworthiness ▪ Affiliates from using your information to market to you ▪ Sharing for nonaffiliates to market to you State laws and individual companies may give you additional rights to limit sharing.
Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. ▪ *Northern Lights Fund Trust III does not share with our affiliates.*
Nonaffiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies ▪ *Northern Lights Fund Trust III does not share with nonaffiliates so they can market to you.*
Joint marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. ▪ *Northern Lights Fund Trust III doesn't jointly market.*